

23655IN2PCT/US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

USA PCT National Stage Patent Application  
PCT/EP01/03891 filed April 5, 2001

Albert Louis Victor Jozef Claessens  
Serial No.: 09/980,227  
First Submission filed: November 27, 2001  
MOULDING SUITABLE FOR PHARMACEUTICAL APPLICATIONS  
AND METHOD FOR PRODUCTION THEREOF

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CERTIFICATE OF MAILING ON LAST PAGE  
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Hon. Commissioner of Patents & Trademarks  
Washington, D.C. 20231

S I R :

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC  
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
AND SURCHARGE FEES AND TRANSLATION AND PRELIMINARY AMENDMENT  
AND SUBMISSION OF DECLARATION

In response to the Office Action dated January 14, 2002  
respectfully submitted herewith is the signed and dated Combined  
Declaration of the inventor (2 pages) in compliance with 37 CFR  
1.63 and 37 CFR 1.66 or 37 CFR 1.68 and 37 CFR 1.497(a) and (b),  
identifying the application by PCT International application no.  
and international filing date and with power of attorney.

Respectfully also presented is the English translation (25 pages)  
which is believed to be an accurate translation of the PCT  
application PCT/EP01/03891 as originally filed in the German  
language. This translation is requested to be used as the copy  
for examination purposes in the Patent Office along with the  
simultaneously submitted Preliminary Amendment.

A Preliminary Amendment is also presented to be entered simultaneously with the filing of these papers.

Attached is check no. 14455 in the amount of \$794.00 (namely, \$130.00 for the surcharge fee for providing the Declaration later than 20 months from the priority date (37 CFR 1.492(e)), \$130.00 for the processing fee for providing the translation later than 20 months from the priority date (37 CFR 1.492(f)) and \$198.00 for 11 extra claims in excess of twenty as well as \$336.00 for 4 extra independent claims in excess of three.

The Commissioner is hereby authorized to charge any or additional fees which may be required, or credit any overpayment to deposit account no. 06-0105.

Respectfully submitted,

Albert Louis Victor Jozef Claessens

03/29/2002 RAL111 00000055 09980227

01 FC:156	130.00 OP
02 FC:154	130.00 OP
03 FC:966	198.00 OP
04 FC:964	336.00 OP

by: 

MARTIN A. FARBER  
Attorney for Applicant  
Registered Representative  
Registration No. 22,345

CERTIFICATE OF MAILING UNDER 37 CFR SECTION 1.8(a)

I hereby certify that the accompanying Response to Notification of Missing Requirements under 35 USC 371 in the United States Designated/Elected Office (DO/EO/US), signed Combined Declaration (2 pages), check no. 14455 for \$794.00, English translation and Preliminary Amendment are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on March 14, 2002.

Dated: March 14, 2002

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Martin A. Farber



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/980,227	Albert Louis Victor Jozef Claessens	23655IN2PCT/US

INTERNATIONAL APPLICATION NO.
PCT/EPO1/03891

I.A. FILING DATE	PRIORITY DATE
04/05/2001	04/06/2000

Martin A Farber  
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 New York, NY 10017

CONFIRMATION NO. 9957

371 FORMALITIES LETTER



\*OC00000007302861\*

# DUE MARCH 14, 2002

Date Mailed: 01/14/2002

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ✓ • Translation of the application into English.
- ✓ • Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 months from the priority date (37 CFR 1.492(f)).
- ✓ • Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- ✓ • \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR**

**THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$260 for a Large Entity:

- \$130 Late oath or declaration Surcharge.
- \$130 for English translation surcharge required.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

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**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,227	PCT/EPO1/03891	23655IN2PCT/US